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JCS71 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 220002056723

First Inventor H. Kirk Hammond

Title GENE THERAPY FOR CONGESTIVE HEART FAILURE

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J. Bushard
Jennifer Bushard**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
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Box Patent Application
Washington, DC 20231

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| 1. <input type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)
<i>(Submit an original, and a duplicate for fee processing)</i> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | |
| 2. <input type="checkbox"/> Applicant claims small entity status.
<i>See 37 CFR 1.27.</i> | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> | |
| 3. <input checked="" type="checkbox"/> Specification
<i>(preferred arrangement set forth below)</i> | a. <input type="checkbox"/> Computer Readable Form (CRF) | |
| - Descriptive title of the Invention | b. <input type="checkbox"/> Specification Sequence Listing on: | |
| - Cross Reference to Related Applications | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or | |
| - Statement Regarding Fed sponsored R & D | ii. <input type="checkbox"/> paper | |
| - Reference to sequence listing, a table, or a computer program listing appendix | c. <input type="checkbox"/> Statements verifying identity of above copies | |
| - Background of the Invention | | |
| - Brief Summary of the Invention | | |
| - Brief Description of the Drawings (<i>if filed</i>) | | |
| - Detailed Description | | |
| - Claim(s) | | |
| - Abstract of the Disclosure | | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)
[Total Sheets 14] | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 5. <input type="checkbox"/> Oath or Declaration
a. <input type="checkbox"/> Newly executed (original or copy) | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
<i>(where there is an assignee)</i> | <input type="checkbox"/> Power of Attorney |
| b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 17 completed)</i> | 11. <input type="checkbox"/> English Translation document (if applicable) | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 (4 PAGES) | 13. <input type="checkbox"/> Preliminary Amendment | |
| 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>Should be specifically itemized</i> | |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> | |
| Prior application information | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | |
| Examiner Michael C. Wilson | 17. <input type="checkbox"/> Other | |

ACCOMPANYING APPLICATION PARTS

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| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | <input type="checkbox"/> Power of Attorney |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
<i>(where there is an assignee)</i> | <input type="checkbox"/> Copies of IDS Citations |
| 11. <input type="checkbox"/> English Translation document (if applicable) | |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | |
| 13. <input type="checkbox"/> Preliminary Amendment | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
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| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> | |
| 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | |
| 17. <input type="checkbox"/> Other | |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: 09/472,667 filed December 27, 1999

Prior application information

Examiner Michael C. Wilson

Group / Art Unit 1633

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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